

Children's ICF/IID

Single Point of Entry and Level of Care Review

Cumulative and 3rd Quarterly Report FY21

Census

The Family Resource Consultant (FRC) continues to work closely with the Director of Social Work at St. Mary's and the Social Worker at Holiday House to maintain accurate information regarding the census. In the past timely communication regarding admissions presented a challenge to maintaining accurate census information, however since the implementation of Single Point of Entry, the FRC has been better able to track admissions and ask for status updates for pending applications.

During the third quarter of FY21 (April 1, 2021 – June 30, 2021), there were 0 admissions and 1 discharge at Holiday House leaving the census at **27**. St. Mary's Home had **3** admissions and **1** discharge bringing the census to **85**. The total census as of June 30, 2020 was **112**.

Table 1: St. Mary's and Holiday House Census

Date	Holiday House	St. Mary's	Total
January 1, 2017	26	82	108
April 1, 2017	27	84	111
July 1, 2017	28	83	111
September 30, 2017	26	85	111
December 31, 2017	26	84	110
March 31, 2018	27	86	113
June 30, 2018	28	85	113
September 30, 2018	27	86	113
December 31, 2018	27	84	111
March 31, 2019	28	86	114
June 30, 2019	28	88	116
September 30, 2019	27	86	113
December 31, 2019	28	83	111
March 31, 2020	28	82	110
June 30, 2020	28	86	114
September 30, 2020	28	83	111
December 31, 2020	28	82	110
March 31, 2021	28	83	111
June 30, 2021	27	85	112

Table 2: Census Graph

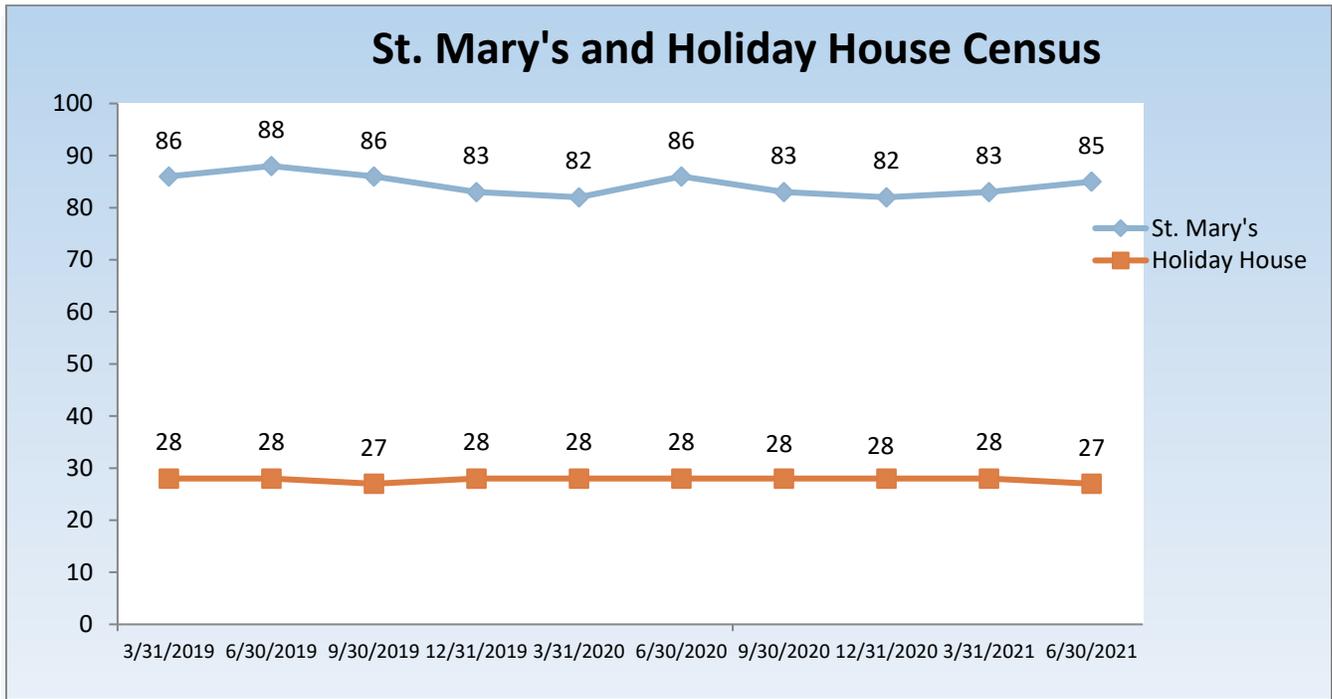


Table 3: Cumulative and Quarterly ICF Data

1st Quarter

Cumulative Data				
Census as of January 1, 2017	Total Children Admitted to date (1/1/17-9/30/2020)	Total Children Discharged to date (1/1/17-9/30/2020)	Deaths	Census as of end of 1 st Quarter FY21
108	60	53	4	111
1st Quarter FY21 (July 1, 2020 – September 30, 2020)				
Census July 1, 2020	Admissions	Discharges	Level of Care Reviews (Indicator 9)	Post Move Monitoring Contacts
114	2	4	0	6

2nd Quarter

Cumulative Data				
Census as of January 1, 2017	Total Children Admitted to date (1/1/17-12/31/2020)	Total Children Discharged to date (1/1/17-12/31/2020)	Deaths	Census as of end of 2 nd Quarter FY21
108	64	57	5	110
2nd Quarter FY21 (October 1, 2020 – December 31, 2020)				
Census October 1, 2020	Admissions	Discharges	Level of Care Reviews (Indicator 9)	Post Move Monitoring Contacts
111	4	5	35	9

3rd Quarter

Cumulative Data				
Census as of January 1, 2017	Total Children Admitted to date (1/1/17-3/31/2021)	Total Children Discharged to date (1/1/17-3/31/2021)	Deaths	Census as of end of 3 rd Quarter FY21
108	68	60	5	111
3rd Quarter FY21 (January 1, 2021 – March 31, 2021)				
Census Jan 1, 2021	Admissions	Discharges	Level of Care Reviews (Indicator 9)	Post Move Monitoring Contacts
110	4	3	43	6

4th Quarter

Cumulative Data				
Census as of January 1, 2017	Total Children Admitted to date (1/1/17-6/30/2021)	Total Children Discharged to date (1/1/17-6/3/2021)	Deaths	Census as of end of 4 th Quarter FY21
108	71	62	5	112
4th Quarter FY21 (April 1, 2021 – June 30, 2021)				

Census April 1, 2021	Admissions	Discharges	Level of Care Reviews (Indicator 9)	Post Move Monitoring Contacts
111	3	2	54	4

*LOC reviews were completed by the facilities.

* Discharges under 2nd Quarter review incorporated the death listed under cumulative data

Single Point of Entry (SPE) and Virginia Individual Developmental Disability Eligibility Survey (VIDES)

The Single Point of Entry process for admission into Intermediate Care Facilities was implemented effective May 1, 2018.

Table 4: SPE from 5.1.2018

SPE (Indicator 7)

Number of Children from May 2018	Diverted	Admitted	Denied Admission	Pending	Discharged	Date of LOC Review
1		1/31/2020				Nov 2020
2	May 2018					
3		10/18/18				Sept 2019
4		8/9/18				July 2019
5	Dec 2018					
6			X			
7		12/3/18			9/16/19	Nov 2019
8		9/11/18			3/20/19	Aug2019
9		7/10/18				June 2019
10		8/14/18				June 2019
11	Feb 2019					
12		11/26/18				Sept 2019
13		11/12/18				Sept 2019
14		3/18/19				Feb 2020
15		12/27/18			2/17/19	Oct 2019
16				Passed away 7/4/2020		
17		12/20/18			3/4/2020	CVTC
18		1/15/19				Nov 2019
19		3/5/19				Jan 2020
20			X			
21		3/19/19				Jan 2020
22		2/18/19				Dec 2019
23		3/26/19				Jan 2020
Number of Children from May 2018	Diverted	Admitted	Denied Admission	Pending	Discharged	Date of LOC Review

24		12/12/19				Nov 2020
25		4/8/19			3/21/2020	Feb 2020
26		5/28/19				Mar 2020
27		7/16/19				May 2020
28	7/26/19					
29		7/26/19				June 2020
30		9/15/2020				July 2020
31				X		
32	11/18/19					
33		9/24/19			10/14/19	July 2020
34		10/1/19				July 2020
35		11/12/19				Sept 2020
36		11/26/19		Passed away 8/25/2020		Sept 2020
37		12/13/19				Nov 2020
38		4/1/2020				Jan 2021
39		4/14/2020				Feb2021
40		3/6/2020				Jan 2021
41		5/13/2029				Mar 2021
42		1/21/2020				Nov 2020
43		6/15/2020				April 2020
44	Tuned 22 on 5/20/2020			Pending Transition to an Adult ICF		
45		5/1/2020				Feb 2021
46		9/15/2020				July 2021
47		10/7/2020				Aug 2021
48		9/22/2020				July 2021
49		12/9/2020				Oct 2021
50		12/2/2020				Oct 2021
51	X					
52		12/2/2020			1/5/2021	Oct 2021
53		3/2/2021				Jan 2022
54		4/12/2021				Feb 2022
55		3/9/2021				Jan 2022
56		3/16/2021				Jan 2022
57				X		
58			X			
59		1/26/2021				Nov 2021
60				X		
61		5/4/2021				Mar 2022
62		6/29/2021				April 2022

Table 5: VIDES Cumulative and Quarterly Data

VIDES (Indicator 7)

1st Quarter

Cumulative Data-VIDES (May 1, 2018-September 30, 2020)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
48	5	3	36	48	2
1st Quarter FY21-VIDES (July 1, 2020-September 30, 2020)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
3	0	1	2	3	0
Additional Data					
Total Remaining in ICF		Total Discharged/Death prior to Annual LOC Review		Total remaining in the ICF at the time of next Annual Level of Care Review	
29		5		6	

2nd Quarter

Cumulative Data-VIDES (May 1, 2018-December 31, 2020)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
52	6	1	40	51	2
2nd Quarter FY21-VIDES (October 1, 2020-December 31, 2020)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
4	1	0	4	3	0
Additional Data					
Total Remaining in ICF		Total Discharged/Death prior to Annual LOC Review		Total remaining in the ICF at the time of next Annual Level of Care Review	
33		5		8	

3rd Quarter

Cumulative Data-VIDES (May 1, 2018-March 31, 2021)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
59	6	3	44	58	3

3rd Quarter FY21-VIDES (January 1, 2021-March 31, 2021)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission

7	0	2	4	7	1
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Additional Data		
Total Remaining in ICF	Total Discharged/Death prior to Annual LOC Review	Total remaining in the ICF at the time of next Annual Level of Care Review
36	6	12

4th Quarter

Cumulative Data-VIDES (May 1, 2018-June 30, 2021)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
62	6	3	47	62	3

4 th Quarter FY21-VIDES (April 1, 2021-June 30, 2021)					
Total Screened	Total Diverted	Total Pending	Total Admitted	Total Referred to RST	Total Denied Admission
3	0	1	3	3	0

Additional Data		
Total Remaining in ICF	Total Discharged/Death prior to Annual LOC Review	Total remaining in the ICF at the time of next Annual Level of Care Review
39	6	15

Single Point of Entry and VIDES Summary

During the 1st quarter of FY21, 8 VIDES were completed; 3 children and 5 for adults. (The below information pertains to children unless otherwise specified)

- VIDES process: Three families received a phone contact to discuss more integrated options. The Community Transition Guide was emailed to all three families. (Indicator 7)
- Twenty Community Transition Guides were resent/emailed to the families of ICF residents during the quarter. Mass mailings are completed in January and June. (Indicator 10)
- Fifteen of the SPE individuals have completed their initial LOC reviews. (Indicator 9)
- Fifteen of the SPE individuals are awaiting their initial LOC reviews. (Indicator 9)
- Two children in the ten and under category were discharged this quarter. St. Mary's Home currently has one child in active discharge status. (Indicator 12)
- The families of children 10 and under were contacted this quarter. Seven calls were completed and fifteen messages were left. (Indicator 12)
- Fourteen annual contacts were completed with families to develop a family outreach plan. (Indicator 13)
- Three Admission Awareness Letter and five Active Discharge Letters were provided to Community Services Boards. (Indicator 15)
- Eight families were linked to the VCU Family to Family Network of Virginia this quarter. Two families have been linked to a family navigator. (Indicator 13)
- Two adults were referred to RST to review for more integrated options.
- Three adults are pending an RST referral (waiting for the ICF to be licensed).

During the 2nd quarter of FY21, **7 VIDES** were completed; **3 adults and 4 children**. (The below information pertains to children unless otherwise specified)

- VIDES process: Four families received a phone contact to discuss more integrated options. The Community Transition Guide was emailed to all four families. (Indicator 7)
- Ten Community Transition Guides were resent/emailed to the families of ICF residents during the quarter. Mass mailings are completed in January and June. (Indicator 10)
- Twenty-three of the SPE individuals have completed their initial LOC reviews. (Indicator 9)
- Thirteen of the SPE individuals are awaiting their initial LOC reviews. (Indicator 9)
- One child in the ten and under category was discharged this quarter. (Indicator 12)
- The families of children 10 and under will be contacted in Jan 2021 for the quarterly calls. (Indicator 12)
- Seven annual contacts were completed with families to develop a family outreach plan. (Indicator 13)
- Three Admission Awareness Letter and five Active Discharge Letters were provided to Community Services Boards. (Indicator 15)
- Eight families were linked to the VCU Family to Family Network of Virginia this quarter. (Indicator 13)

During the 3rd quarter of FY21, **8 VIDES** were completed; **7 children and 1 adult**. (The below information pertains to children unless otherwise specified)

- VIDES process: Seven families/legal guardians received a phone contact to discuss more integrated options. The Community Transition Guide was emailed to all seven families/legal guardians. (Indicator 7)
- FRC emailed/mailed 108 Community Transition Guides to the families/legal guardians of ICF residents during the quarter. Mass mailings are completed in January and June. (Indicator 10)
- Twenty of the SPE individuals have completed their initial LOC reviews. (Indicator 9)
- Sixteen of the SPE individuals are awaiting their initial LOC reviews. (Indicator 9)
- One child in the ten and under category was discharged this quarter. (Indicator 12)
- The families of children 10 and under will be contacted in April 2021 for the quarterly calls. (Indicator 12)
- Two annual contact were completed with families to develop a family outreach plan. (Indicator 13)
- Seven Admission Awareness Letter and two Active Discharge Letters were provided to Community Services Boards. (Indicator 15)
- One family was linked to the VCU Family to Family Network of Virginia this quarter. It was a previous referral that was not forwarded. According to VCU, the referral was closed after receiving no response from the parent. (Indicator 13)
- A total of **3 adult VIDES Requests** were received this quarter. FRC only processed **1 request** because the other **2 families** did not receive informed consent and decided to look for more integrated options in the community.

During the 4th quarter of FY21, **6 VIDES** were completed; **3 children and 3 adults**. (The below information pertains to children unless otherwise specified)

- VIDES process: Three families/legal guardians received a phone contact to discuss more integrated options. The Community Transition Guide was emailed to all three families/legal guardians. (Indicator 7)
- FRC emailed/mailed 111 Community Transition Guides to the families/legal guardians of ICF residents during the quarter. Mass mailings are completed in January and June. (Indicator 10)
- Twenty six of the SPE individuals have completed their initial LOC reviews. (Indicator 9)
- Thirteen of the SPE individuals are awaiting their initial LOC reviews. (Indicator 9)

- There were no children in the ten and under category discharged this quarter. (Indicator 12)
- Nine families of children 10 and under were contacted in April 2021 for the quarterly calls. Nine messages were left with families and six calls were attempted. (Indicator 12)
- 20 annual contact were completed with families to develop/update the family outreach plan. (Indicator 13)
- Three Admission Awareness Letter and four Active Discharge Letters were provided to Community Services Boards. (Indicator 15)
- Five families were linked to the VCU Family to Family Network of Virginia this quarter. None of the families responded to numerous voice messages left by staff. Consequently, all five cases were closed. (Indicator 13)

There have been 6 diversions since May 1, 2018:

- Age 15- parent decided to take the individual home. Children’s Hospital of King’s Daughter assisted with securing needed equipment so that the individual could rehab at home
- Age 7- parent decided to keep the individual at home. Case management services were added
- Age 18- Individual received a CL slot in Dec 2018
- Age 18- Placed in foster care services with Barry Robinson. Individual transitioned home with services at age 18
- Age 14- decided to maintain CL waiver slot, exploring sponsored residential services
- Age 17- DBHDS provided a CL slot, exploring sponsor residential services. (2nd Quarter FY21)

Level of Care Reviews

During the third quarter of FY21 (April 1, 2021 – June 30, 2021), **47** Level of Care Reviews were completed for St. Mary’s Home and **7** Modified Level of Care Reviews were completed by Holiday House. Due to ongoing covid-19 restrictions, Holiday House has not resumed site visits. All modified reviews were completed by Holiday House staff. Ongoing issues noted during the 4th quarter reviews included no signature for responsible party, beneficiary, or treatment team, no annual psychological, and no admission paperwork (for a second admission). FRC consistently recommends quarterly contacts by the social worker with the legal guardians to discuss available options in the community. The facilities are provided 6 months to respond to areas of non-compliance and recommendations.

Table 6: Completed Level of Care Reviews

1st Quarter

Facility	Completed	Utilization Plan Compliant	Utilization Plan Non Compliant	Certification Compliant	Certification Non Compliant	Plan of Care Compliant	Plan of Care Non Compliant	Discharge Planning Compliant	Discharge Planning Non Compliant
St. Mary’s	0	0	0	0	0	0	0	0	0
Holiday House	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0

2nd Quarter

Facility	Completed	Utilization Plan Compliant	Utilization Plan Non Compliant	Certification Compliant	Certification Non Compliant	Plan of Care Compliant	Plan of Care Non Compliant	Discharge Planning Compliant	Discharge Planning Non Compliant
St. Mary's	20	20	0	14	6	14	6	20	0
Holiday House	15	15	0	15	0	15	0	15	0
Total	35	35	0	29	6	29	6	35	0

3rd Quarter

Facility	Completed	Utilization Plan Compliant	Utilization Plan Non Compliant	Certification Compliant	Certification Non Compliant	Plan of Care Compliant	Plan of Care Non Compliant	Discharge Planning Compliant	Discharge Planning Non Compliant
St. Mary's	29	29	0	27	2	26	3	29	0
Holiday House	14	14	0	14	0	14	0	14	0
Total	43	43	0	41	2	40	3	43	0

4th Quarter

Facility	Completed	Utilization Plan Compliant	Utilization Plan Non Compliant	Certification Compliant	Certification Non Compliant	Plan of Care Compliant	Plan of Care Non Compliant	Discharge Planning Compliant	Discharge Planning Non Compliant
St. Mary's	47	47	0	45	2	37	10	47	0
Holiday House	7	7	0	7	0	7	0	7	0
Total	54	54	0	52	2	44	10	54	0

Level of Care Review Schedule

Level of Care (LOC) Reviews are completed approximately 60 days prior to the beneficiary's annual review. This allows FRC to provide feedback regarding areas of non-compliance from the previous year so that appropriate steps can be taken to address them as the ISP is being developed for the coming plan year. A total of **47** LOC Reviews and **7** Modified LOC Reviews were completed during the 4th quarter of FY21.

Table 7: Level of Care Review Schedule

A total of **0** LOC Reviews were completed during 1st Quarter of FY21

Facility	July 2020	August 2020	September 2020
St. Mary's	0 (7)	0(4)	0(5)
Holiday House	0(5)	0(2)	0(3)
Total	0(12)	0(6)	0(8)

A total of 35 Modified LOC Reviews were completed during 2nd Quarter of FY21

Facility	Oct 2020	Nov 2020	Dec 2020
St. Mary's	0	10	10
Holiday House	0	5	10
Total	0	15	20

A total of 43 Modified LOC Reviews were completed during 3rd Quarter of FY21

Facility	Jan 2021	Feb 2021	Mar 2021
St. Mary's	11	10	8
Holiday House	0	12	2
Total	11	22	10

A total of 47 LOC Reviews (completed by DBHDS) and 7 Modified LOC Reviews (completed by facility staff) were completed during 4th quarter of FY21

Facility	April 2021	May 2021	June 2021
St. Mary's	30	14	3
Holiday House	2	3	2
Total	32	17	5

Demographics

The majority of the beneficiaries residing at both St. Mary's Home for Children (49%) are between the ages of 11 and 17 year. Holiday House has an equal number of children (44%) between the ages of 11 to 17 years and 18 to 20 years.

At St. Mary's Home for Children, there is a relatively even distribution of each gender; with males representing 47% of the beneficiaries and females representing 52%. Conversely, Holiday House is 25% female and 74% male.

There is a relatively even distribution of children residing at St. Mary's with a length of stay of 7 months to 5 years (42%); and more than 5 years (43%). This is also true for children residing at Holiday House; 44% have a length of stay between 7 months and 5 years and 55% have a length of stay of more than 5 years.

Table 7: Demographic Information

1st Quarter

Facility	Age			Aging Out 21-22 Years	Gender		Length of Stay		
	0-10 Years	11-17 Years	18-20 Years		Male	Female	0 months- 6 Months	7 months-5 Years	Greater than 5 Years
St. Mary's	22	38	18	5	37	46	8	35	40
Holiday House	1	14	12	1	21	7	0	13	15
Total	23	52	30	6	58	53	8	48	55

2nd Quarter

Facility	Age			Aging Out	Gender		Length of Stay		
	0-10 Years	11-17 Years	18-20 Years		21-22 Years	Male	Female	0 months-6 Months	7 months-5 Years
St. Mary's	23	40	13	6	37	45	8	35	39
Holiday House	1	13	13	1	21	7	0	12	16
Total	23	52	30	6	58	53	8	48	55

3rd Quarter

Facility	Age			Aging Out	Gender		Length of Stay		
	0-10 Years	11-17 Years	18-20 Years		21-22 Years	Male	Female	0 months-6 Months	7 months-5 Years
St. Mary's	23	42	13	5	38	45	9	36	38
Holiday House	1	12	12	3	21	7	0	12	16
Total	24	54	25	8	59	52	9	48	54

4th Quarter

Facility	Age			Aging Out	Gender		Length of Stay		
	0-10 Years	11-17 Years	18-20 Years		21-22 Years	Male	Female	0 months-6 Months	7 months-5 Years
St. Mary's	26	42	13	4	40	45	12	36	37
Holiday House	1	12	12	2	20	7	0	12	15
Total	27	54	25	6	60	52	12	48	52

Definitions:

Admission- admission occurs when the requesting facility completes their screening and review process and the individual moves into the facility.

Diversion- diversion occurs when the Substitute Decision Maker (SDM) and /or Legal Guardian (LG) agrees to explore and consequently selects more integrative options in the community.

Denial- denial occurs when the requesting facility completes the screening/review process and it is determined that the facility is unable to adequately meet the individual's needs.

Pending- pending status occurs when the SDM or LG declines to explore more integrated options and the facility is completing the screening/review process.